

# Regulatory and Audit Committee

**Title:** Risk Management Group Update

**Date:** Thursday 4 April 2019

**Author:** Maggie Gibb – Head of Business Assurance (& Chief Auditor)

**Contact officer:** Maggie Gibb – 01296 387327

## Local members affected:

*For press enquiries concerning this report, please contact the media office on 01296 382444*

## Summary

The Risk Management Group (RMG) is a working group of the Regulatory and Audit Committee. The RMG met on 10 October 2018, 12 December 2018 and 27 February 2018 and considered the following items:

### **1. Debt Management - Communities, Health and Adult Social Care (CHASC)**

The Executive Director and Finance Director for CHASC presented the latest debt position and explained that the long term debt had reduced which was good news. The short term debt was low risk and being monitored on a regular basis. The key financial risks were reviewed and updated on a regular basis, and reported to the Corporate Management Team Budget Board.

### **2. CHASC Business Unit Risk Register**

The Executive Director for CHASC presented the latest risk registers for the Business Unit and the Adult Social Care Transformation Programme. The main themes were care market stability, the delivery of the transformation programme and ensuring CHASC continued to deliver the safest possible services to users. Managing market demands was the largest area of risk due to a surge in demand for care.

Mitigations had been identified to manage the risks, and these were reviewed, reported and escalated as appropriate through the CHASC risk framework process.



### **3. Debt Management - Children's Services (CS)**

The Finance Director for CS presented an overview of the outstanding debt and a detailed overview of how the debts were being monitored and recovered. The CS Budget Board actively monitors the debt position and the key financial risks.

### **4. Resources Business Unit Risk Register**

The Executive Director for Resources presented the latest risk register for the Resources Business Unit and explained that a thorough review had been carried out to refresh the risk register, and escalated risks were reported to the Resources Board through the improved governance process. The key themes related to budget pressures and improvement programmes. Mitigation plans are in place to manage the risks which are reviewed on a regular basis by the risk owners.

### **5. Contract and Supplier Relationship Management Update**

The Executive Director responsible for the Contract and Supplier Management Improvement Programme and the Supplier Relationship Manager attended to provide an update on this high risk area. The Improvement Programme was identified as an action in the 2017/18 Annual Governance Statement. Significant work has been carried out to validate and cleanse the contract data and improvement activity continues to mitigate the key risk areas.

### **6. Technology Services Risk Register**

The Chief Information Officer attended to present the latest risk register which had been reviewed and updated by the Senior Management Team. The risk register had been subject to a deep dive review and update in line with the development of the IT Improvement Programme.

### **7. Transport, Economy and Environment (TEE) Risk Register**

The TEE risk register was presented and the key risks reviewed and challenged by Members. The key risks highlighted related to the Business Unit ensuring service delivery and business transformation in the times of financial constraint and planning for the impacts of growth on service demands. Risks are escalated via the Senior Management Team and are reviewed on a regular basis by the risk owners.

### **8. Unitary Risk Management**

The Head of Business Assurance provided an update on the collaborative work with colleagues from the district councils developing the proposed risk framework and the initial Unitary Implementation Risk Register for the transition period. It was confirmed that each council will continue with their own risk management processes.

### **9. Anti-Fraud Briefing**

Members were provided with an Anti-Fraud briefing covering key fraud risk areas such as procurement and schools.

## **Recommendation**

To note the report

## **Supporting information to include the following if a decision is being requested:**

### **Resource implications**

None

### **Legal implications**

None

### **Other implications/issues**

None

### **Feedback from consultation, Local Area Forums and Local Member views (if relevant)**

Not relevant

---

## **Background Papers**

Risk Management Group papers and minutes

---